TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No. (Under 37 CFR 1.97(b) or 1.97(c)) JPP-1230CIP3A AJIT KARMAKER, LARRY A. LOPEZ, BRUCE ALPERT, BRUCE A. FINNIGAN In Re Application Of: Serial No. Examiner Filing Date **Group Art Unit** 08/01/2003 Title: ENDODONTIC POST AND OBTURATING SYSTEM Address to: **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450 37 CFR 1.97(b) The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. 37 CFR 1.97(c) 2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: ☐ the statement specified in 37 CFR 1.97(e); OR ☐ the fee set forth in 37 CFR 1.17(p).

7 . 😩

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No. (Under 37 CFR 1.97(b) or 1.97(c)) JPP-1230CIP3A In Re Application: AJIT KARMAKER, LARRY A. LOPEZ, BRUCE ALPERT, BRUCE A. FINNIGAN Serial No. Group Art Unit Filing Date Examiner 08/01/2003 ENDODONTIC POST AND OBTURATING SYSTEM Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) A check in the amount of is attached. ☐ The Director is hereby authorized to charge and credit Deposit Account No. as described below. Charge the amount of Credit any overpayment. Charge any additional fee required. Certificate of Transmission by Facsimile* Certificate of Mailing by First Class Mail I certify that this document and authorization to charge deposit I certify that this document and fee is being deposited account is being facsimile transmitted to the United States with the U.S. Postal Service Patent and Trademark Office (F: as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. (Date) Signature Signature of Person Mailing Correspondence Typed or Printed Name of Person Signing Certificate Typed or Printed Name of Person Mailing Certificate *This certificate may deposit agount./// ge used if paying by Dated: August 1, 2003 Signature ANN M. KNAB REG. NO. 33, 331 CC:

UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. JPP-1230CIP3A

Total Pages in this Submission 56

TO THE COMMISSIONER FOR PATENTS

Mail Stop Patent Application P.O. Box 1450 Alexandria, VA 22313-1450

				or fi	iling under 35	U.S	.C. 111(a) and 37 C.F.R. 1.53	(b) is a new utility patent app	olication for an
nvent									7
EN	սօս	ONT	IC POS	T A	AND OBTUR	ATIN	IG SYSTEM		
ـــــا and in	vente	ed by	:			 -			····
AJI	T KA	RM	AKER,	LA	RRY A. LOP	EZ, l	BRUCE ALPERT, BRUCE A.	FINNIGAN	
If a C	ONT	INUA	TION A	٩P	PLICATION,	chec	k appropriate box and supply	the requisite information:	
	Cont	inuat	ion [\supset	Divisional	\boxtimes	Continuation-in-part (CIP)	of prior application No.:	10/164,512
Whicl	h is a	:							
	Cont	inuat	ion (Divisional	X	Continuation-in-part (CIP)	of prior application No.:	09/684,493
Whic	h is a	:							
	C nt	inuat	ion (Divisional	\boxtimes	Continuation-in-part (CIP)	of prior application No.:	09/571,040
Enclo	sed a	are:							
	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	2.0.					Application Elements		
1.	×	⊏ili-	a foo o	۰ ۵	ealculated and	l tron	smitted as described below		
١.	\text{\tin}\text{\tett{\text{\tetx{\text{\tetx{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\ti}\}\text{\text{\text{\text{\text{\text{\text{\text{\tex{\tex	F 1011	ig ice a	S C	alculated and	ппан	ismitted as described below		
2.	\boxtimes	Spe	cificatio	n l	having		32 pages and i	ncluding the following:	
	2	×	Doser	inti	vo Titlo of the	Inve	ontion		
					ve Title of the				
	b.	X	Cross	Re	eferences to F	Relat	ed Applications (if applicable)		
	C.		Stater	ner	nt Regarding	Fede	erally-sponsored Research/De	evelopment (if applicable)	
	d.		Refere	enc	e to Sequenc	e Lis	sting, a Table, or a Computer	Program Listing Appendix	
	e.	X	Backg	roı	und of the Inv	entio	n		
	f.	×	Brief S	Sun	nmary of the	Inver	ntion		
	g.	Ø	Brief D	es	scription of the	e Dra	wings (if filed)		
	h.	×	Detaile	bs	Description				
	i.	Ø	Claim	(s)	as Classified	Belo	w		
		×			of the Disclos				
	٦.	_	, 100110		J. 11.0 D100100				

UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. JPP-1230CIP3A

Total Pages in this Submission 56

Application Elements (Continued)

3.	\boxtimes	Drawing(s) (when necessary as prescribed by 35 USC 113)
	a.	☐ Formal Number of Sheets
	b.	☑ Informal Number of Sheets 12
4.		Oath or Declaration
	a.	☐ Newly executed (original or copy) ☐ Unexecuted
	b.	☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
	C.	☐ With Power of Attorney ☐ Without Power of Attorney
	d.	☐ <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5.		Incorporation By Reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6.		CD ROM or CD-R in duplicate, large table or Computer Program (Appendix)
7. 8.		Application Data Sheet (See 37 CFR 1.76) Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)
	a.	☐ Computer Readable Form (CFR)
	b.	☐ Specification Sequence Listing on:
		i. CD-ROM or CD-R (2 copies); or
		. ii. 🔲 Paper
	C.	☐ Statement(s) Verifying Identical Paper and Computer Readable Copy
		Accompanying Application Parts
9.		Assignment Papers (cover sheet & document(s))
10.		37 CFR 3.73(B) Statement (when there is an assignee)
11.		English Translation Document (if applicable)
12.	X	Information Disclosure Statement/PTO-1449 Copies of IDS Citations
13.		Preliminary Amendment
14.	\boxtimes	Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15.		Certified Copy of Priority Document(s) (if foreign priority is claimed)
16.	X	Certificate of Mailing
		☐ First Class ☒ Express Mail (Specify Label No.): EV051632284US

UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. JPP-1230CIP3A

Total Pages in this Submission 56

		Accompanying Application Parts (Continued)	
17.	×	Applicant claims small entity status. See 37 CFR 1.27.	
			1
40			
18.		Additional Enclosures (please identify below):	 1
		·	
		Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)	
19.		Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclethis application has not and will not be the subject of an application filed in another country, of a multilateral international agreement, that requires publication of applications 18 months after the application.	osed in r under
		Warning	
		An applicant who makes a request not to publish, but who subsequently files in a factority or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2 must notify the Director of such filing not later than 45 days after the date of the fit such foreign or international application. A failure of the applicant to provide such within the prescribed period shall result in the application being regarded as abandualess it is shown to the satisfaction of the Director that the delay in submitting the was unintentional	l)(B)(i), ling of notice doned,

oplicant(s): Ajit Karm	MAILING BY "EXPRESS and the state of the sta		Docket No. JPP-1230CIP3A
Serial No.	Filing Date 08/01/2003	Examiner	Group Art Unit
vention: ENDODON	FIC POST AND OBTURATING	SYSTEM	
I hereby certify that the	e following correspondence:		
	ion Transmittal; Specification wit n Disclosure Citation with referen	h 12 drawing sheets; Transmittal cces; Acknowledgement Postcard	f Information Disclosure
	(Identify type	of correspondence)	
is being deposited with	h the United States Postal Service	as "Evarose Meil Boot Office to A	
		e Express Mail Post Office to At	ldressee" service under :
CFR 1.10 in an envelo		for Patents, P.O. Box 1450, Alex	
CFR 1.10 in an envelo			
CFR 1.10 in an envelo	ope addressed to: Commissioner August 1, 2003	for Patents, P.O. Box 1450, Alex	andria, VA 22313-1450 d
CFR 1.10 in an envelo	ope addressed to: Commissioner August 1, 2003	Suzanne McHu (Typed or Printed Name of Person Mail	andria, VA 22313-1450 o gh ing Correspondence)
CFR 1.10 in an envelo	ope addressed to: Commissioner August 1, 2003	for Patents, P.O. Box 1450, Alex Suzanne McHu	andria, VA 22313-1450 o gh ing Correspondence)
CFR 1.10 in an envelo	ope addressed to: Commissioner August 1, 2003	Suzanne McHu (Typed or Printed Name of Person Mail	gh ing Correspondence) MINICA rrespondence)
CFR 1.10 in an envelo	ope addressed to: Commissioner August 1, 2003	Suzanne McHu (Typed or Printed Name of Person Mailing Co	andria, VA 22313-1450 o gh ing Correspondence) MINIX rrespondence)
CFR 1.10 in an envelo	ope addressed to: Commissioner August 1, 2003	Suzanne McHu (Typed or Printed Name of Person Mail (Signature of Person Mailing Co	andria, VA 22313-1450 (gh ing Correspondence) MINICA rrespondence)